

FORM PTO/SB/08A/B (10-0)  
Substitute for PTO-1449A/B

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)



Attorney Docket Number

PVI5813CIP2CIP1CIP1CON2/  
53765/MEG/E303

Application Number

10/634,653

Filing Date

August 5, 2003

Applicant(s)

Randall Lashinski et al.

Group Art Unit

3738

Examiner Name

Urmi Chattopadhyay

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
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EXAMINER  
SIGNATURE

*Urmi Chattopadhyay*

DATE  
CONSIDERED

10/14/05

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	<b>Examiner Name</b>	Urmi Chattopadhyay



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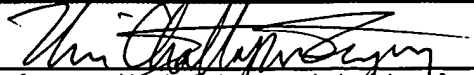
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EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
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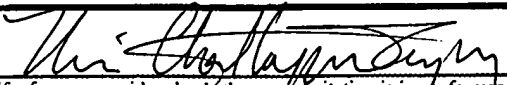
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FORM PTO-1449

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PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
MITRAL.1CP3C2APPLICATION NO.  
10/634,653INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Lashinski et al.FILING DATE  
August 5, 2003GROUP  
3731

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	14	5,350,420	09/1994	Cosgrove et al.			
	15	5,390,661	02/1995	Griffith et al.			
	16	5,450,860	09/1995	O'Connor			
	17	5,476,471	12/1995	Shifrin et al.			
	18	5,545,209	08/1996	Roberts et al.			
	19	5,562,619	10/1996	Mirarchi et al.			
	20	5,593,424	01/1997	Northrup III			
	21	5,702,343	12/1997	Alferness			
	22	5,716,397	02/1998	Myers			
	23	5,824,066	10/1998	Gross			
	24	5,891,108	04/1999	Leone et al.			
	25	5,919,233	07/1999	Knopf et al.			
	26	5,935,081	08/1999	Kadhiresan			
✓	27	5,961,440	10/1999	Schweich, Jr. et al.			

EXAMINER

DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.



FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MITRAL.1CP3C2	APPLICATION NO. 10/634,653
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Lashinski et al.	
		FILING DATE August 5, 2003	GROUP 3731

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
MC	28	5,961,545	10/1999	Lentz et al.			
	29	5,961,565	10/1999	Kawabe et al.			
	30	6,001,127	12/1999	Schoon et al.			
	31	6,019,739	02/2000	Rhee et al.			
	32	6,045,497	04/2000	Schweich, Jr. et al.			
	33	6,050,936	04/2000	Schweich, Jr. et al.			
	34	6,051,020	04/2000	Goicoechea et al.			
	35	6,059,715	05/2000	Schweich, Jr. et al.			
	36	6,077,214	06/2000	Mortier et al.			
	37	6,077,296	06/2000	Shokoohi et al.			
	38	6,095,968	08/2000	Snyders			
	39	6,110,100	08/2000	Talpade			
	40	6,123,662	09/2000	Alferness et al.			
	41	6,143,024	11/2000	Campbell et al.			
	42	6,146,325	11/2000	Lewis et al.			
	43	6,155,968	12/2000	Wilk			
	44	6,155,972	12/2000	Nauertz et al.			
	45	6,161,543	12/2000	Cox et al.			
	46	6,162,168	12/2000	Schweich, Jr. et al.			
	47	6,165,119	12/2000	Schweich, Jr. et al.			
	48	6,165,120	12/2000	Schweich, Jr. et al.			
	49	6,165,122	12/2000	Alferness			
	50	6,171,329 B1	01/2001	Shaw et al.			
	51	6,174,279 B1	01/2001	Girard			
	52	6,183,411 B1	02/2001	Mortier et al.			
	53	6,183,512 B1	02/2001	Howanec, Jr. et al.			
✓	54	6,193,648 B1	02/2001	Krueger			

EXAMINER	<i>Michael Thompson</i>	DATE CONSIDERED	10/14/05
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 809; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.			

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MITRAL.1CP3C2	APPLICATION NO. 10/834,853
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Lashinski et al.	
		FILING DATE August 5, 2003	GROUP 3731

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>MC</i>	55	6,210,432 B1	04/2001	Solem et al.			
	56	6,217,610 B1	04/2001	Carpentier et al.			
	57	6,221,103 B1	04/2001	Melvin			
	58	6,230,714 B1	05/2001	Alferness et al.			
	59	6,241,654 B1	06/2001	Alferness			
	60	6,250,308 B1	06/2001	Cox			
	61	6,260,552 B1	07/2001	Mortier et al.			
	62	6,261,222 B1	07/2001	Schweich, Jr. et al.			
	63	6,264,602 B1	07/2001	Mortier et al.			
	64	6,368,343	04/2002	Bonutti et al.			
	65	6,402,781 B1	06/2002	Langberg et al.			
	66	6,569,198	05/2003	Wilson et al.			
	67	6,602,288	08/2003	Cosgrove et al.			
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	69	2002/0183835 A1	12/2002	Taylor et al.			
	70	2002/0183836 A1	12/2002	Liddicoat et al.			
	71	2002/0183837 A1	12/2002	Streeter et al.			
	72	2002/0183838 A1	12/2002	Liddicoat et al.			
	73	2002/0183841 A1	12/2002	Cohn et al.			
	74	2003/0088305	05/2003	Van Schie et al.			
	75	60/213,782	06/2000	Cohn et al.			
	76	60/242,466	10/2000	Streeter			
	77	60/266,766	02/2001	Cohn et al.			
	78	60/273,893	03/2001	Cohn et al.			
	79	60/278,153	03/2001	Cohn et al.			
	80	60/279,973	03/2001	Taylor et al.			
✓	81	60/279,974	03/2001	Taylor et al.			

EXAMINER <i>Mr. Christopher S. Szymanski</i>	DATE CONSIDERED <i>10/14/05</i>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 809; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MITRAL.1CP3C2	APPLICATION NO. 10/834,653
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Lashinski et al.	
		FILING DATE August 5, 2003	GROUP 3731

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>MA</i>	82	60/280,038	03/2001	Cohn et al.			
	83	60/283,820	04/2001	Cohn et al.			
	84	60/312,217	08/2001	Taylor et al.			
	85	60/348/424	01/2002	Taylor et al.			
<i>✓</i>	86	60/339,481	10/2001	Cohn et al.			

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
<i>MA</i>	87	WO 91/19465	12/1991	PCT				
	88	WO 96/34211	10/1996	PCT				
	89	WO 98/29041	07/1998	PCT				
	90	WO 99/30647	06/1999	PCT				
	91	WO 99/44534	09/1999	PCT				
	92	WO 00/06026	02/2000	PCT				
	93	WO 00/06027	02/2000	PCT				
	94	WO 00/06028	02/2000	PCT				
	95	WO 00/16700	03/2000	PCT				
	96	WO 00/18320	04/2000	PCT				
	97	WO 01/00111 A1	01/2001	PCT				
	98	WO 01/00114 A1	01/2001	PCT				
	99	WO 01/28455 A1	04/2001	PCT				
	100	EP 0 727 239 A2	08/1996	Europe				
<i>✓</i>	101	EP 0 727 239 A3	04/1997	Europe				
	102	DE 196 05 042 A1	01/1998	Germany				

EXAMINER <i>MA</i>	DATE CONSIDERED <i>10/14/05</i>
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MITRAL.1CP3C2	APPLICATION NO. 10/634,653
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Lashinski et al.	
		FILING DATE August 5, 2003	GROUP 3731

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
<i>MC</i>	103	Boyd et al., <i>Tricuspid annuloplasty</i> , September 1974, <u>The Journal of Thoracic and Cardiovascular Surgery</u> , pg. 344, 351
	104	Kurlansky et al., <i>Adjustable Annuloplasty for Tricuspid Insufficiency</i> , October 1987, <u>The Annals of Thoracic Surgery</u> , pg. 404-406
	105	Alonso-Lej, <i>Adjustable Annuloplasty for Tricuspid Insufficiency</i> , September 1988, <u>The Annals of Thoracic Surgery</u> , Letter to the Editor, pg. 368-369
	106	Chachques et al., <i>Latissimus Dorsi Dynamic Cardiomyoplasty</i> , 1989, <u>The Society of Thoracic Surgeons</u> , pg. 600-604
	107	McCarthy et al., <i>Clinical experience with the Novacor ventricular assist system</i> , May 1990, <u>J Thorac Cardiovasc Surg</u> , pg. 578-587
	108	Farrar et al., <i>A New Skeletal Muscle Linear-pull Energy Convertor as a Power Source for Prosthetic Circulatory Support Devices</i> , September 1992, <u>The Journal of Heart and Lung Transplantation</u> , pg. S341-S349
	109	Bolling et al., <i>Early outcome of mitral valve reconstruction in patients with end-stage cardiomyopathy</i> , April 1995, <u>The Journal of Thoracic and Cardiovascular Surgery</u> , pg. 676-683
	110	Bach et al., <i>Early improvement in congestive heart failure after correction of secondary mitral regurgitation in end-stage cardiomyopathy</i> , June 1995, <u>American Heart Journal</u> , pg. 1165-1170
	111	Beamson et al., <i>Development of a Prototype Magnetically Suspended Rotar Ventricular Assist Device</i> , <u>ASAIO Journal</u> 1996, pg. 275-280
	112	<i>Thoratec Ventricular Assist Device System</i> , 1996 Brochure
	113	McCarthy et al., <i>Early Results with Partial Left Ventriclectomy</i> , May 1997, Presented at the 77 <sup>th</sup> Annual Meeting of the American Association of thoracic Surgeons
	114	Buchanan et al., <i>Circumferential Suture of the Mitral Annulus for Correction of Mitral Regurgitation in Dogs</i> , 1998, <u>Veterinary Surgery</u> Vol. 27, pg. 182-193
	115	Yamani et al., <i>Surgical Treatment of Chronic Heart Failure</i> , 2000, <u>Congestive Heart Failure Second Edition</u> , pg 767-784
	116	Bristow et al., <i>Heart Failure Management Using Implantable Devices for Ventricular Resynchronization: Comparison of Medical Therapy, Pacing, and Defibrillation in Chronic Heart Failure (COMPANION) Trial</i> , September 2000, <u>Journal of Cardiac Failure</u> , Vol. 6, No. 3, pg 276-285
<i>✓</i>	117	Smolens et al., <i>Mitral Valve Repair in Heart Failure</i> , 2000, <u>European Journal of Heart Failure</u> 2, pg. 365-371

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EXAMINER <i>Michael J. S. [Signature]</i>	DATE CONSIDERED <i>10/14/05</i>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 608; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	